

UNITED STATES PATENT APPLICATION

NOVEL RECEIVER ARCHITECTURE FOR CDMA RECEIVER DOWNLINK

INVENTORS

Shimon Moshavi

Daniel Yellin

Yoni Perets

Tsofnat Hagin-Metzer

Schwegman, Lundberg, Woessner & Kluth, P.A.  
1600 TCF Tower  
121 South Eighth Street  
Minneapolis, MN 55402  
ATTORNEY DOCKET SLWK 884.768US1  
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## BACKGROUND OF THE INVENTION

5 In a cellular communication system, a plurality of base stations is typically used to provide wireless communication services to mobile users within the system. Each base station will often service multiple users within a coverage region or cell associated with the base station. To allow multiple users to share a base station, a multiple access  
10 scheme is typically employed. One multiple access technique that is becoming increasingly popular is code division multiple access (CDMA). In a CDMA-based system, a plurality of substantially orthogonal codes (usually taking the form of pseudo-random noise sequences) or nearly-orthogonal codes (i.e., codes with low cross-correlations) are used to spread spectrum modulate user signals within the system.  
15 Each modulated user signal has an overlapping frequency spectrum with other modulated user signals in the system. However, because the underlying modulation codes are orthogonal (or nearly-orthogonal), each user signal is capable of being independently demodulated by performing a correlation operation on the composite signal using the appropriate code.

20 A mobile communication device within a CDMA-based cellular system will typically receive overlapping data-bearing signals associated with a plurality of users within the system. Some of the users may be located within a common cell as the mobile communication device and some of the users may be located in other cells. The mobile communication device is required to extract data from the composite received  
25 signal that is intended for delivery to a local user associated with the device. All signal components within the composite received signal other than the component carrying the local user data are considered interference by the mobile communication device because they interfere with the data extraction process. Various receiver architectures are in use or have been proposed for use within mobile communication devices in  
30 CDMA-based cellular systems. However, there is an ongoing need for novel receiver

architectures for use in such devices.

### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a simplified top view illustrating a cellular communication system;

5 Fig. 2 is a block diagram illustrating a receiver system in accordance with an embodiment of the present invention; and

Fig. 3 is a block diagram illustrating a receiver system in accordance with another embodiment of the present invention.

### DETAILED DESCRIPTION

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In the following detailed description, reference is made to the accompanying drawings that show, by way of illustration, one or more specific embodiments in which the invention may be practiced. These embodiments are described in sufficient detail to enable those skilled in the art to practice the invention. It is to be understood that the various embodiments of the invention, although different, are not necessarily mutually exclusive. For example, a particular feature, structure, or characteristic described herein in connection with one embodiment may be implemented within other embodiments without departing from the spirit and scope of the invention. In addition, it is to be understood that the location or arrangement of individual elements within each disclosed embodiment may be modified without departing from the spirit and scope of the invention. The following detailed description is, therefore, not to be taken in a limiting sense, and the scope of the present invention is defined only by the appended claims, appropriately interpreted, along with the full range of equivalents to which the claims are entitled. In the drawings, like numerals refer to the same or similar functionality throughout the several views.

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Fig. 1 is a simplified top view illustrating a cellular communication system 10 in which the principles of the present invention may be practiced. As illustrated, the cellular communication system 10 includes a plurality of base stations 12, 14 that are physically distributed within an area. Each of the base stations 12, 14 has a corresponding coverage region or cell 16 within which the base station 12, 14 provides

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wireless communication services to mobile users. As used herein, the term “affiliated base station” refers to a base station that is presently providing communication services to a particular user. For example, with reference to Fig. 1, base station 14 is acting as the affiliated base station for mobile user 18 who is located within the cell 16 of base station 14. In some systems, it is possible to have more than one affiliated base station for a user during, for example, soft-handoff operations. Mobile user 18 will typically receive signals from a number of base stations 12 within the system 10 in addition to the affiliated base station 14. As described previously, in a CDMA-based system, the signals received from the various base stations may have overlapping frequency spectrums. As can be appreciated, these overlapping signals can negatively impact the quality of communication within the system 10 if not appropriately handled.

Fig. 2 is a block diagram illustrating a receiver system 20 in accordance with an embodiment of the present invention. The receiver system 20 may be used, for example, within any communication device requiring a CDMA receiver (e.g., a cellular telephone, pager, personal digital assistant or portable computer with wireless transceiver functionality, etc.) for use in a CDMA-based wireless communication system (e.g., system 10 of Fig. 1). As illustrated, the receiver system 20 includes: a rake receiver 22, a pilot tracking unit 24, a searcher 26, a decoder 28, an optional site selection diversity transmission (SSDT) unit 30, an optional decision metric correction unit 32, an optional position estimator 34, and a controller 36. It should be appreciated that the individual blocks illustrated in Fig. 2 (and in other block diagrams herein) are functional in nature and do not necessarily correspond to discrete hardware elements. For example, in at least one embodiment, two or more of the blocks are implemented in software within a single (or multiple) digital processing device(s). The digital processing device(s) may include, for example, a general purpose microprocessor, a digital signal processor (DSP), a reduced instruction set computer (RISC), a complex instruction set computer (CISC), a field programmable gate array (FPGA), an application specific integrated circuit (ASIC), and/or others, including combinations of the above.

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Each base station in a cellular system typically transmits data-bearing signals to one or more users within a corresponding cell. Each base station also typically transmits pilot signals that may be used by the user's communication devices to, among other things, aid detection of the corresponding data-bearing signals. The information within the pilot signals is typically known within the individual user devices. As described previously, in a CDMA-based system, some or all of the data-bearing signals may have overlapping frequency spectrums. Likewise, the pilot signals may have frequency spectrums that overlap the spectrums of the data-bearing signals. The signal received by the receiver system 20 may include overlapping signal components from a number of different base stations. The receiver system 20 is operative for, among other things, recovering data from this received signal that corresponds to a local user associated with the receiver system 20.

The searcher 26 searches for new pilot signals within the received signal. In one approach, the searcher 26 identifies each new pilot signal within the received signal that meets a predetermined criterion (e.g., exceeds a predetermined signal strength threshold). When dealing with multi-path channels, each identified pilot signal usually corresponds to a single path of a corresponding channel. Similarly, when transmit diversity is being used, where multiple pilot paths are arriving from different transmit antennas of the same base station, each identified pilot may correspond to one of these multiple pilot paths. Once a new pilot signal has been identified by the searcher 26, a decision may be made as to whether the pilot signal will be tracked by the pilot tracking unit 24. If it is decided that the pilot signal is to be tracked, the pilot tracking unit 24 proceeds to continuously track the pilot signal over time. The pilot tracking unit 24 despreads each pilot signal being tracked and performs continuous time tracking and channel tracking (i.e., amplitude and phase estimation) for the signal. In at least one implementation, the pilot tracking unit 24 also performs frequency tracking for each pilot signal being tracked. In one approach, the pilot tracking unit 24 includes a number of pilot trackers (e.g., PILOT TRACKER 1, ..., PILOT TRACKER M in Fig. 2) that each independently track a corresponding pilot signal identified by the searcher 26. Because the pilot signals are continuously and finely tracked by the pilot tracking unit

24, the accuracy of the time and channel (and possibly frequency) estimates is relatively high. The pilot tracking unit 24 may record the collected tracking information within a memory. In one implementation, the searcher 26 has access to this memory and uses the corresponding recorded information to help in the search process for new paths.

5           The pilot tracking unit 24 may be used to track a single type of pilot signal or a number of different types of pilot signal. For example, the pilot tracking unit 24 may be used to track both the primary and secondary pilot channels specified in the 3GPP standards document, "Physical Channels and Mapping of Transport Channels onto Physical Channels (FDD)," 3GPP TS 25.211. The pilot tracking unit 24 may also be  
10       used to track beacon pilot signals (i.e., pilot signals broadcast from a neighboring base station on the same frequency as the user device in order to enable the user device to identify a base station for potential hard handoff using a different frequency). Other types of pilot signal may also be tracked.

          The rake receiver 22 processes the received signal to despread a data carrying  
15       portion of the received signal that corresponds to a local user (i.e., a user associated with the receiver system 20). The rake receiver 22 includes a number of rake fingers (e.g., RAKE FINGER 1,..., RAKE FINGER N in Fig. 2) that each separately despread a corresponding path of the wireless channel between an affiliated base station and the receiver system 20. The continuously tracked timing and channel information (and  
20       possibly frequency information) developed by the pilot tracking unit 24 may be used by the rake receiver 22 to accurately demodulate the received signal. In addition, this information may be used to optimize the assignment of the rake fingers to appropriate paths (e.g., within the controller 36). In addition to the initial assignment of the rake fingers, the continuously tracked timing and channel information may be used to make  
25       decisions on, for example, when to change the assignment of a particular rake finger to another path, when to turn off a particular rake finger, etc. in a dynamic manner. Because the timing and channel information is accurate and timely, it may be possible to reduce the number of rake fingers within the rake receiver 22 without significantly affecting rake performance. Further, the timing and channel information (and possibly  
30       frequency information) developed by the pilot tracking unit 24 may also be used to

initialize a rake finger when it is first turned on, to avoid any transient degradations in detection.

In Fig. 2, the receiver system 20 is illustrated as having a single rake receiver 22. It should be appreciated that one or more additional rake receivers 22 may also be present within the receiver system 20 and the pilot tracking unit 24 may serve all of the rake receivers 22 in the system. For example, in one possible operational mode, data-bearing signals may be transmitted to a user from a single base station using multiple different codes. This may require multiple rake receivers. In another scenario, there may be multiple different data-bearing channels that a receiver has to demodulate (e.g., a data channel and an informational channel). Again, this may require multiple rake receivers.

The rake receiver 22 may combine the outputs of some or all of the individual rake fingers to form a single demodulated output signal (e.g., using maximal ratio combining). Alternatively, the rake receiver 22 may determine the best output from among the rake fingers to be used as the rake output signal. The output signal of the rake receiver 22 will typically consist of a coded baseband signal. The decoder 28 is provided to decode this output signal. The decoder 28 is not necessary if the output signal of the rake receiver 22 is not coded. The decoder 28 processes the output signal of the rake receiver 22 to recover the data associated with the local user. The decoder 28 can consist of any type of decoder including, for example, a convolutional decoder (e.g., a Viterbi decoder, a sequential decoder, a BCJR/MAP decoder, a log-MAP decoder, and others), a turbo decoder, a low density parity check (LDPC) decoder, a linear block codes decoder (e.g., Hadamard, Hamming, cyclic, Golay, BCH, Reed-Solomon decoders, and others), as well as other types of decoder.

The controller 36 controls and manages the resources of the rake receiver 22, the pilot tracking unit 24, and the searcher 26. In at least one embodiment, the controller 36 includes a module to decide which pilots identified by the searcher 26 are to be tracked by the pilot tracking unit 24 (based on, for example, the resources available within the pilot tracking unit 24, the signal strength or signal-to-noise ratio of the identified pilots, any base station priorities that may exist, etc.). The selection of

identified pilots to be tracked by the pilot tracking unit 24 may be a dynamic process that continuously reevaluates the decision to track a particular pilot. In at least one embodiment, the controller 36 includes a module to decide which paths, that are tracked by the tracking unit 24, will be assigned to the fingers of the rake receiver 22 (based on, for example, the resources available within the rake receiver 22 and the pilot signal strength of the paths tracked by the pilot tracking unit 24). The controller 36 may also control the type of assignment (based on, for example, resources available for the rake receiver 22). For example, it may be a long-term assignment or a dynamic assignment that is updated frequently based on tracker measurements. Other control and management functions may also be implemented by the controller 36.

The pilot tracking unit 24 tracks a pilot signal corresponding to each one of the fingers of the rake receiver 22 (i.e., for each one of the corresponding paths). In addition, the pilot tracking unit 24 will also typically track one or more pilot signals that do not correspond to fingers of the rake receiver 22 (e.g., pilots associated with base stations other than the affiliated base station(s)). As will be discussed in greater detail, the information gathered by the pilot tracking unit 24 may be used to perform a variety of different functions within the receiver system 20. In at least one implementation, the pilot tracking unit 24 tracks all or most of the pilot signals that are identified by the searcher 26. The actual number of pilots tracked will typically be limited by the available resources within the pilot tracking unit 24 (e.g., the number of pilot trackers, etc.). A selection criterion may be defined for use in determining which of the identified pilots will be tracked within the pilot tracking unit 24. The selection criterion may be based upon, for example, pilot signal strength, pilot signal-to-noise ratio, resource availability, base station priorities (e.g., priority for affiliated base stations and/or base stations considered for soft-handoff), and/or other factors. The decision to cease tracking a pilot signal may also be based on a predetermined criterion. As described above, these decisions may be made by the controller 36.

Because the pilot tracking unit 24 continuously tracks the pilot signals, highly accurate and timely signal strength reports may be developed within the receiver system 20 for delivery to the corresponding network for use in making, for example, soft-



handoff decisions. These signal strength reports will allow the network to more accurately and quickly select the optimal active set members for the receiver system 20.

Because the active set members are more optimally determined, the performance of the rake receiver 22 may be improved and dropped calls may be reduced. In addition, because the signal strength reports are more accurate, the threshold used to add base stations in soft-handoff can be tightened, thus reducing unnecessary soft-handoff overhead.

In at least one embodiment of the present invention, the level of multi-path or antenna diversity associated with a base station is taken into account, in addition to signal strength, to make the soft-handoff decisions. For example, if signals are received from two different base stations with roughly the same signal-to-noise ratio (SNR), then the decision on which base station to include within the active set can favor the base station whose signal is being received with more diversity. Because the pilot tracking unit 24 continuously tracks pilot signals from base stations that are not within the active set, and will often track multiple paths associated with a single base station, the diversity information of each surrounding base station will often be available from the pilot tracking unit 24. In one possible approach, the diversity information corresponding to particular base stations is delivered to the network along with the signal strength report for use by the network in making a soft-handoff decision. In another possible approach, the diversity information assembled for a base station may be used within the receiver system 20 to weight the corresponding signal strength information for the base station. The modified signal strength information is then delivered to the network as part of the signal strength report for use in making the soft-handoff decision. Either one of these approaches may be implemented, for example, in software within the controller 36. As will be appreciated, many alternative techniques can also be used to make soft-handoff decisions based on base station diversity information developed by the pilot tracking unit 24.

In past CDMA receivers, the searcher unit was used to (a) detect new multi-path components that can be utilized by a corresponding rake receiver, and (b) periodically measure the energy of the active and neighboring base stations for use in making, for

example, handoff decisions. By implementing a pilot tracking unit 24 in a CDMA receiver, a significant computational load may be lifted from the searcher as the searcher is no longer required to make the periodic energy measurements. Alternatively, the searcher may retain some of the functionality of periodic energy measurements for pilots not being tracked by the PTU, but at a significantly reduced level. However, the main purpose of the searcher becomes the identification of new signal paths of potential significance. By focusing its resources on the identification of new signal paths, the searcher 26 can identify new paths more quickly and accurately than searchers of the past having comparable resources. By using the pilot tracking unit 24 to measure the detected paths, the measurements that will be reported will be more accurate.

In order to maximize the use of macro-diversity while minimizing soft-handoff overhead, a site selection diversity transmission (SSDT) mode of operation has been developed (e.g., as part of the third generation 3GPP CDMA standard) that allows user equipment to quickly switch the identity of the base station presently servicing the equipment. In SSDT mode, only one base station transmits to the user equipment at a time, but it is the base station determined to be the best. With reference to Fig. 2, the optional SSDT unit 30 uses tracking information generated by the pilot tracking unit 24 to aid in the selection of the base station that will transmit to the receiver system 20 at a particular time. The selected base station information is then delivered to the rake receiver 22 for use in identifying the appropriate rake fingers to use in the demodulation. In the past, SSDT decisions had been made based upon relatively infrequent pilot measurements made within a searcher unit. In contrast, the continuous timing and channel information provided by the pilot tracking unit 24 enables the SSDT decisions to be made more accurately and quickly, thus enhancing receiver performance. In addition, because the SSDT decisions no longer rely on the searcher, the computational load on the searcher may be reduced considerably.

The optional decision metric correction unit 32 uses tracking information developed by the pilot tracking unit 24 to optimize one or more decision metrics used by the decoder 28 in decoding the data signals associated with the local user. As

illustrated, the decision metric correction unit 32 may also use the received signal to optimize the decision metric(s). The algorithms used in decoders are typically based upon likelihood information (e.g., log likelihood ratio, etc.) that depends on the interference/noise levels seen by the receiver. In a CDMA system, most of this interference/noise comes from co-channel signals from the same cell and from other cells. The pilot tracking unit 24 can provide significant information about the level and character of the interference/noise in the form of pilot signal power levels and channel information. Because this information is continuously tracked for both the affiliated base station(s) and non-affiliated base stations, accurate and current information is continuously available for making the metric corrections. In this manner, the performance of the decoder 28 may be increased significantly.

The optional position estimator 34 is operative for estimating a present location of the receiver system 20 based on the tracking information developed by the pilot tracking unit 24. In one approach, for example, finely tracked timing estimates associated with multiple surrounding base stations are used within a time difference of arrival (TDOA) position location algorithm to estimate the current position of the receiver system 20. In such a technique, the propagation delay differential from several base stations may be computed within the receiver system 20 to determine the corresponding position. Other techniques for estimating the present location of the receiver system 20 using tracking information developed by the pilot tracking unit 24 may alternatively be used.

Fig. 3 is a block diagram illustrating a receiver system 40 in accordance with an embodiment of the present invention. The receiver system 40 includes: a pilot tracking unit 24, a searcher 26, a decoder 28, a controller 36, and an interference mitigation receiver 42. The pilot tracking unit 24, the searcher 26, the decoder 28, and the controller 36 are similar to the structures described previously in connection with the system 20 of Fig. 2. The receiver system 40 may also optionally include an SSDT unit 30, a decision metric correction unit 32, and/or a position estimator 34 as described previously. The interference mitigation receiver 42 is a receiver that uses information relating to interference within the received signal to enhance the accuracy of the

demodulation process. The interference mitigation receiver 42 obtains some or all of this interference related information from the pilot tracking unit 24. Because the pilot tracking unit 24 continuously and accurately tracks the significant pilot signals received by the receiver system 40, which may include both pilot signals associated with the affiliated base station(s) and pilot signals associated with non-affiliated base stations, there is typically a great deal of accurate and timely information available for use by the interference mitigation receiver 42 regarding the interference within the received signal. The interference mitigation receiver 42 can include, for example, an interference cancellation receiver, a multi-user detector (MUD), an equalization-based receiver, a receiver using multi-antenna spatial processing, and/or other receiver types. In at least one implementation, the interference mitigation receiver 42 includes pilot interference mitigation functionality to reduce the level of pilot-based interference within the received signal in a manner that enhances demodulation performance. The information generated by the pilot tracking unit 24 may also be used to accurately demodulate data-bearing interference signals within the received signal for use in interference mitigation (e.g., within a MUD detector, etc.). It should be appreciated that multiple interference mitigation receivers 42 may be provided and the pilot tracking unit 24 may service each of the these receivers.

In the foregoing detailed description, various features of the invention are grouped together in one or more individual embodiments for the purpose of streamlining the disclosure. This method of disclosure is not to be interpreted as reflecting an intention that the claimed invention requires more features than are expressly recited in each claim. Rather, as the following claims reflect, inventive aspects may lie in less than all features of each disclosed embodiment. Thus, the following claims are hereby incorporated into the detailed description, with each claim standing on its own as a separate embodiment of the invention.

Although the present invention has been described in conjunction with certain embodiments, it is to be understood that modifications and variations may be resorted to without departing from the spirit and scope of the invention as those skilled in the art

readily understand. Such modifications and variations are considered to be within the purview and scope of the invention and the appended claims.

Accepted for filing